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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 4704P010X
First Inventor or Application Identifier Karl Jacob
Title AN APPARATUS AND METHOD FOR SPECIFYING AND OBTAINING
Express Mail Label No. EL651845802US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification *Total Pages*
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) *Total Sheets*
5. Oath or Declaration *Total Pages*
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CFR)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Notice of Related Pending Applications

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09 / 702,217

Prior application Information: Examiner Unknown Group/Art Unit: 2152

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



08791

or ☐ Correspondence address below

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Joseph Lutz, Reg. No. 43,765

Signature

Date

12/06/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **848.00****Complete if Known**

Application Number	
Filing Date	12/06/01
First Named Inventor	Karl Jacob, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	4704P010X

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
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Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other**FEE CALCULATION** (continued)**3. ADDITIONAL FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,280	241	640	Petition to revive - unintentionally	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

FEE CALCULATION**1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) **740.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	X \$18.00 =	108.00
3	-3** = 0	X \$84.00 =	0.00
Multiple Dependent			

Large Entity Small Entity

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) **108.00**

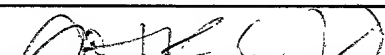
**or number of previously paid, if greater; For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **848.00****SUBMITTED BY**Typed or
Printed Name

Joseph Lutz, Reg. No. 43,765

Signature



Date

12/06/01

Complete (if applicable)

Reg. Number

Deposit Account
User ID

02-2666

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PTO/SB/17 (10-01)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

JACOB, et al.

Serial No. To Be Assigned

Filed: December 6, 2001

For: AN APPARATUS AND METHOD FOR
SPECIFYING AND OBTAINING
SERVICES THROUGH AN AUDIO
TRANSMISSION MEDIUM

Examiner: To Be Assigned

Art Group: To Be Assigned

NOTICE OF RELATED PENDING APPLICATIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request that the Examiner be put on notice that the following pending U.S. Patent Applications may disclose subject matter relating to the above captioned application.

U.S. Patent Application. No 09/414,710, entitled SYSTEM FOR PROVIDING SERVICES IN REAL-TIME OVER THE INTERNET, filed on October 8, 1999;

U.S. Patent Application. No 09/522,322, entitled SYSTEM FOR RECORDING AND DISTRIBUTING RECORDED INFORMATION OVER THE INTERNET, filed on March 9, 2000;

U.S. Patent Application. No 09/565,587, entitled SYSTEM AND METHOD FOR CONDUCTING A TIME AUCTION, filed on May 4, 2000;

U.S Patent Application No. 09/635,506, entitled METHOD AND APPARATUS FOR PROVIDING GROUP CALLS VIA THE INTERNET, filed on August 9, 2000;

U.S Patent Application No.09/702,217, entitled AN APPARATUS AND METHOD FOR SPECIFYING AND OBTAINING SERVICES THROUGH VOICE COMMANDS, filed on October 30, 2000;

Express Mail No.: EL651845802US

10015968-120601
T090227 8965T00T

U.S Patent Application No.09/705,388, entitled METHOD, APPARATUS AND SYSTEM
EPR MARKETING, DELIVERING, AND COLLECTING PAYMENT FOR
INFORMATION, filed on November 2, 2000;

U.S Patent Application No. 09/733,872, entitled ASSISTANCE METHOD AND
APPARATUS, filed on December 8, 2000;

U.S Patent Application No 09/764,021, entitled SYSTEM AND METHOD FOR AN
ONLINE SPEAKER PATCH-THROUGH, filed on January 16, 2001;

U.S Patent Application No.09/782,925, entitled ASSISTANCE METHOD AND
APPARATUS, filed on February 13, 2001;

U.S Patent Application No. 09/782,984, entitled ASSISTANCE METHOD AND
APPARATUS, filed on February 13, 2001; and

U.S. Patent Application No. 09/808,475, entitled APPARATUS AND METHOD FOR
RECRUITING, COMMUNICATING WITH, AND PAYING PARTICIPANTS OF
INTERACTIVE ADVERTISING, filed on March 13, 2001.

U.S. Patent Application No. 09/947,591 entitled APPARATUS AND METHD FOR
ENSURING A REAL-TIME CONNECTION BETWEEN USERS AND SELECTED
SERVICE PROVIDER USING VOICE MAIL, filed on September 5, 2001.

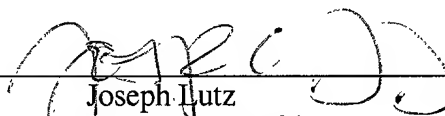
If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR, & ZAFMAN LLP

Dated: December 6, 2001

By: _____


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